Three-Port DC-DC Converter for Stand-Alone Photovoltaic Systems

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Abstract—System efficiency and cost effectiveness are of critical importance for photovoltaic (PV) systems. This paper addresses the two issues by developing a novel three-port DC-DC converter for stand-alone PV systems, based on an improved Flyback-Forward topology. It provides a compact single-unit solution with a combined feature of optimized maximum power point tracking (MPPT), high step-up ratio, galvanic isolation and multiple operating modes for domestic and aerospace applications. A theoretical analysis is conducted to analyze the operating modes followed by simulation and experimental work. The paper is focused on a comprehensive modulation strategy utilizing both PWM and phase-shifted control that satisfies the requirement of PV power systems to achieve MPPT and output voltage regulation. A 250 W converter was designed and prototyped to provide experimental verification in term of system integration and high conversion efficiency.

Index Terms—DC-DC power conversion, maximum power point tracking, phase shift, photovoltaic power system, voltage control.

I. INTRODUCTION

Solar energy is a primary and renewable source of energy. As the cost of photovoltaic (PV) panels is seen to reduce continuously, PV-based power generation is gaining in popularity for both grid-connected and stand-alone systems [1]-[5]. Currently, the global installation is over 40 GW and increases at an annual rate of 50% since 2005 [6].

Stand-alone systems are independent of utility grids and commonly employed for satellites, space stations, unmanned aerial vehicles (UAV) and domestic applications [7]-[10]. Such systems require storage elements to accommodate the intermittent generation of solar energy [11]-[15]. Over the years, research effort has been directed toward improving the power conversion efficiency as well as the power density by weight (PDW) and the power density by volume (PDV) [16][17].

Traditionally, the two-port topology utilizes the dual active bridges (DAB) [18]-[21] and the half or full bridges can support the multiport structure to some extent [22]-[25]. A combination of Flyback-Forward converter with full bridge has shown some advantages in zero voltage switching (ZVS) and high conversion ratio for fuel cell applications [26]. A modified half bridge converter is reported in [27] which consists of one PV input port, one bidirectional battery port, and an isolated output for satellite applications. However, in these converters, a multi-input-multi-output (MIMO) solution is generally difficult to achieve for power electronic applications.

In theory, multiple-input converters (e.g. three-port converters) can provide a single-unit solution interfacing multiple energy sources and common loads [28]-[30]. They perform better than traditional two-port converters due to their lower part count and smaller converter size. In particular, the isolated three-port converter (ITPC) has become an attractive topology for various applications owing to their multiple energy source connection, compact structure and low cost [31]-[33]. In this topology, a simple power flow management scheme can be used since the control function is centralized. A high-frequency transformer can provide galvanic isolation and flexible voltage conversion ratio. The ITPC is usually
integrated into an individual converter such as forward, push-pull, full bridge, and Flyback converters [34][35].

The ITPC utilizes the triple active bridges (TAB) with inherent features of power controllability and ZVS. Their soft-switching performance can be improved if two series-resonant tanks are implemented [36]. An advanced modulation strategy is reported in [37] which incorporates a phase shift (PS) and a PWM to extend the operating range of ZVS. Nonetheless, the TAB topology suffers from the circuit complexity using three active full bridges or half bridges and the power loss caused by reactive power circulation. Therefore a Buck-Boost converter is proposed [38] to integrate a three-port topology in the half bridge and to decompose the multivariable control problem into a series of independent single-loop subsystems. By doing so, the power flow in each loop can be independently controlled. The system is suitable for PV-battery applications since one converter interfaces the three components of the PV array, battery, and loads. However, in each energy transfer state, current passes through at least five inductor windings, especially under high switching frequency conditions, giving rise to power loss; its peak efficiency is less than 90% and its power capability is limited by the transformer design, making it impossible for current sharing.

Based on these topologies, a new three-port DC-DC converter is developed in this paper to combine a new ITPC topology and an improved control strategy, and to achieve decoupled port control, flexible power flow and high power capability while still making the system simple and cheap.

II. TOPOLOGY AND OPERATION

The proposed converter topology is illustrated in Fig. 1. The main switches $S_1$ and $S_2$ transfer the energy from the PV to the battery or load, and can work in either interleaved or synchronous mode. The switches $S_1$ and $S_2$ are operated in the interleaved mode to transfer energy from source to load. $L_1$ and $L_2$ are two coupled inductors whose primary winding ($n_1$) is employed as a filter and the secondary windings ($n_2$) are connected in series to achieve a high output voltage gain. $L_{Lk}$ is the leakage inductance of the two coupled inductors and $N$ is the turns ratio from $n_2/n_1$, $C_{S1}$, $C_{S2}$, $C_{S3}$ and $C_{S4}$ are the parasitic capacitors of the main switches $S_1$, $S_2$, $S_3$ and $S_4$, respectively.

There are three operational modes for the converter, as illustrated in Fig. 2 [39]. In mode 1, the PV array supplies power to load and possibly also to the battery, corresponding to the daytime operation of the PV system. Two $180^\circ$ out-of-phase gate signals with the same duty ratio ($D$) are applied to $S_1$ and $S_2$ while $S_3$ and $S_4$ remain in a synchronous rectification state. When in the steady-state operation, there are four states in one switching period, of which the equivalent circuits are shown in Fig. 3. The steady-state waveforms of the four states are depicted in Fig. 4, where $V_{GS1}$, $V_{GS2}$, $V_{GS3}$ and $V_{GS4}$ are the gate drive signals, $V_{ds1}$ and $V_{ds2}$ are the voltage stresses of $S_1$ and $S_2$, $i_{L1a}$ and $i_{L2a}$ are the currents through $L_{1a}$ and $L_{2a}$, respectively. $i_B$ is the current through the battery, $i_{L1}$ is the current through $S_1$, $V_{DOL}$ is the voltage stress of the output diode $D_{o1}$, and $i_{DOL}$ is the current through $D_{o1}$.
According to the voltage balance law,

$$D V_{pv} = (1-D)V_B$$

(1)

$$V_{ab} = N \frac{1-D}{D} V_B - N(-V_B) = \frac{N V_B}{D}$$

(2)

**State 3 \([t_2-t_3]\):** At \(t_2\), \(S_2\) turns ON, which forces the two coupled inductors work in the flyback state to store energy and \(D_{o2}\) is reverse-biased. The energy stored in \(C_{o1}\) and \(C_{o2}\) transfers to the load. At \(t_3\), the leakage inductor current decreases to zero and the diode \(D_{o1}\) turns OFF.

**State 4 \([t_3-t_4]\):** At \(t_3\), \(S_4\) turns OFF and \(S_2\) turns ON, which turns \(D_{o2}\) ON. The primary side of coupled inductor \(L_1\) charges the battery through \(S_4\). During this state, \(L_2\) operates in the forward mode and \(L_1\) operates in the flyback mode to transfer energy to the load. When \(S_1\) turns ON and \(D_{o1}\) turns OFF, followed by a new switching period.

In mode 2, the battery supplies power to the load, as shown in Fig. 5(a), indicating the nighttime operation of the stand-alone system. The circuit works as the Flyback-Forward converter, where \(S_3\) and \(S_4\) are the main switches, \(C_s\), \(S_1\) and \(S_2\) form an active clamp circuit. When the load is disconnected, the stand-alone system enters into mode 3. The PV array charges battery without energy transferred to the load due to the opposite series connected structure of the coupled inductor (see Fig. 5(b)). \(S_1\) and \(S_2\) work simultaneously and the topology is equivalent to two paralleled Buck-Boost converters.
schemes. Firstly, the relationship of voltage gains with duty ratio and PS needs to be derived. In the following analysis, $S_1$ and $S_2$ have the same duty ratio $D$, whilst $S_1$ and $S_4$ share another duty ratio. The gate signals for $S_1$ and $S_3$ are complementary, and so are $S_2$ and $S_4$.

### A. Analysis of Circuit Performance for $D \geq 0.5$

When the duty cycle $D \geq 0.5$, there are five operating cases which need to be analyzed, as shown in Fig. 6.

![Fig. 6. Five operational cases for $D \geq 0.5$.](image)

**In case 1**, the phase shift angle is between $0$ and $\phi_{crit}$. From the waveform of the leakage inductor current, the secondary side of the coupled inductor is equivalent to a discontinuous conduction mode (DCM) of a Buck converter. When $\phi = \phi_{crit}$, the current pulses $A$ and $B$ is in a boundary conduction mode, as shown in Fig. 7.

For pulse $A$, the current decreases to the negative peak value and increases to zero at the time of $(1-D)T_s$. The decrement time is equal to $T_s \phi_{crit}/2\pi$ and the increment time is $(1-D-\phi_{crit}/2\pi)T_s$. Following the voltage-second balance (Eq. 3), the critical phase angle can be determined by Eq. 4.

$$\phi_{crit1} = \pi \cdot D \cdot (1-D) \cdot \frac{V_{out}}{N \cdot V_B}$$ (4)

The secondary side of the coupled inductor is equivalent to two Buck converters connected in parallel at the DCM operational condition. The corresponding equivalent duty ratio of the Buck converter is $\phi/2\pi$. Provided the voltage gain of the Buck converter in DCM, the output voltage is given by:

$$V_o = \frac{2}{1+\left(\frac{2L_2}{R_o \cdot T_s \cdot (\phi/2\pi)^/2}\right) + 1} \frac{N \cdot V_B}{D}$$ (5)

**In case 2**, the phase shift angle is between $\phi_{crit1}$ and $\phi_{crit2}$. $\phi_{crit2}$ is the transition point from a continuous conduction mode (CCM) to a DCM, which can be determined by Eq. 6. The voltage equations at $\phi_{crit1}$ and $\phi_{crit2}$ are derived by Eqs. 7 and 8.

$$\phi_{crit2} = \frac{4\pi \cdot (1-D) \cdot N \cdot V_B}{D \cdot V_{out}}$$ (6)

$$\frac{N \cdot V_B}{D} (1-D) = \frac{V_o}{2L_s} \phi_{crit2}$$ (7)

**In case 3**, the angle shifts from $\phi_{crit2}$ to $\phi_{crit3}$. The duty ratio of the secondary side of the Buck converter stays constant, and the voltage gain reaches the highest. Therefore, the critical point, $\phi_{crit3}$, and the corresponding voltage can be calculated by Eqs. 9 and 10. $\phi_{crit3}$ is the boundary point between DCM and CCM. With the increase in the PS angle, the voltage declines. In this case, the output voltage cannot be controlled by PS, as suggested by Eq. 11.

$$\phi_{crit3} = 2\pi - \phi_{crit2}$$ (9)

$$\frac{N \cdot V_B}{L_s} (1-D) = \frac{V_o}{2L_s} (1-\phi_{crit3}/2\pi)$$ (10)
In case 4, the PS angle ranges from \( \varphi_{crit1} \) to \( \varphi_{crit4} \). The leakage inductor current is still higher than zero before next voltage pulse. \( \varphi_{crit} \) is the boundary point between DCM and CCM. The critical point, \( \varphi_{crit} \) and the corresponding voltage can be expressed as Eqs. 12 and 13.

\[
\varphi_{crit4} = 2\pi - \varphi_{crit1}
\]

\[
\frac{NV_D}{DL_a} \frac{1 - \varphi_{crit1}}{2\pi} = \frac{V_o}{2L_a} (1 - D)
\]

In case 5, the phase shift angle increases from \( \varphi_{crit} \) to 2\( \pi \). The duty ratio of the secondary side Buck converter is \( 1 - \varphi/2\pi \). The output voltage can be given by

\[
V_o = 2 \cdot \frac{2}{1 + \frac{4 \cdot 2L_a}{1 + R_c \cdot T_i \cdot (1 - \varphi/2\pi)^2 / 2}} \frac{NV_B}{D}
\]

B. Analysis of Circuit Performance for \( D < 0.5 \)

Similarly, there are five operating cases for \( D < 0.5 \). The respective waveforms are shown in Fig. 8.

![Waveforms](image)

Fig. 8. Five cases under \( D < 0.5 \).
performance, which can be expressed as $0 < \phi < \phi_{c1} < \phi_{c2} < \phi_{c3} < 2\pi$. If this is not satisfied, the secondary output voltage is dictated by the switching duty cycle instead of the phase shift angle as presented in Eqs. 11 and 22. In mode 1, the primary side is equivalent to an interleaved Buck-Boost converter operating in the continuous conduction mode due to the asymmetrical complementary operation of the switching devices ($S_1$, $S_3$) and ($S_2$, $S_4$). The operation of the secondary side follows a Buck converter whose duty ratio can be controlled by phase shift angle except for case 3.

C. Feedback Loop Design

In mode 1, $S_1$ and $S_4$ complementarily conduct, and the on/off operation of $S_2$ and $S_3$ is complementary. When the output power of the PV array is lower than the load power, the battery should supply the difference. The primary side of the proposed converter is equivalent to a bidirectional Buck-Boost converter, while the secondary side is a Buck converter in discontinuous conduction mode. The output voltage can be controlled by PS on the primary side bridge arm, which can be approximated to adjust the duty cycle of Buck converter of secondary side to realize output voltage regulation. The control block diagram of the proposed control scheme is further illustrated in Fig. 9.

![Fig. 9. Diagram of the proposed control scheme.](image)

The maximum power point tracking (MPPT) can be implemented by adjusting the duty cycle of switching devices. In the MPPT loop, the PV voltage is regulated to follow an optimal operating point, which is initially assigned to 80% of the open-circuit voltage of the PV array. This point can be determined by the outer MPP Tracker, as previously reported in [40]. Moreover, the PV voltage regulation loop is used to improve the MPPT performance [41]. In the output voltage control loop shown in Fig. 9, the phase angle of the modulation carrier is the control variable, which regulates the output voltage to follow the expected voltage. Because of the block diode in the PV input, mode 1 can be switched to mode 2 by changing $D \geq 0.5$ to $D < 0.5$. Likewise, the modes can be switched from 1 to 3 by controlling the phase shift angle between $S_1$ and $S_2$. These transitions are smoothly achieved by the proposed control method.

D. Design Considerations

In the MPPT control, the PV energy transfers to the battery, the circuit is a Buck-Boost converter. The maximum load voltage at idea conditions can be expressed as

$$V_{o(max)} = \frac{2N}{D} V_B$$  \hspace{1cm} (26)

In order to realize the decoupling of load voltage and MPPT control, the output voltage (in Eq. 26) should be larger than the reference load voltage to gain a large phase shift angle.

Design considerations can be listed as follows: (i) Confirm PV array MPP voltage and corresponding control region and battery; Calculate $D$ working region. (ii) Choose the turns ratio of the coupled inductor to guarantee a large phase shift angle, following Eq. 27.

$$N = \frac{V_{o,a}}{2V_B}$$  \hspace{1cm} (27)

where $\alpha$ is the phase shift angle coefficient, and $V_{o,a}$ is the reference output voltage.

Fig. 10 illustrates a design case study for a 16 V (open voltage) PV module with 12 V battery voltage and 80 V reference output voltage. In this case, by choosing 2 as the coupled inductor turns ratio, a phase shift control margin of at least 18 V can be achieved.

![Fig. 10. Design considerations.](image)
IV. SIMULATION AND EXPERIMENTAL RESULTS

Both simulation and experimental tests are conducted to evaluate the proposed converter topology and control scheme. The system parameters for evaluation are listed in Table I.

<table>
<thead>
<tr>
<th>TABLE I PARAMETERS OF THE Prototype CONVERTER</th>
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<tbody>
<tr>
<td>Parameter</td>
</tr>
<tr>
<td>D_1-D_3</td>
</tr>
<tr>
<td>S_1-S_3</td>
</tr>
<tr>
<td>N = n_2/n_1</td>
</tr>
<tr>
<td>C</td>
</tr>
<tr>
<td>C_m-C_m</td>
</tr>
<tr>
<td>Switching frequency</td>
</tr>
<tr>
<td>Battery voltage</td>
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<tr>
<td>Output voltage</td>
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<td>Step-up ratio</td>
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A. Simulation Tests

Simulation work is carried out in the PSIM environment to establish the relationship of the phase angle shift and output voltage, and to test the proposed control scheme including MPPT and output voltage control.

Fig. 11(a) and (b) show the phase angle shift control and output voltage response at $D \geq 0.5$ and $D < 0.5$ conditions. The phase angle is divided into five portions in accordance with five cases in the theoretical analysis. In Fig. 11(a), the output voltage is controllable for cases 1, 2, 4, and 5 by the phase angle but it is not in case 3. When $D < 0.5$ (Fig. 11b), the relationship becomes more linear than Fig. 11(a). As shown Fig. 11(c), the PV voltage is regulated to 12.8 V, which represents the MPP. The output voltage is controlled at 80 V as expected. Fig. 11(d) presents waveforms of the gate signals and secondary-side inductance current. At 45ms, the load resistance is suddenly reduced from 100 Ω to 40 Ω (perturbation); the output voltage drops to 73 V, and recovers to 80 V after 15ms adjustment, as presented in Fig. 11(e). It is also seen in Fig. 11(f) that the PV array voltage recovers to the MPP voltage after 1ms adjustment when subjected to an input power step change from 500 W/m² to 1000 W/m² (perturbation) at 40ms.

B. Experimental Tests

The proposed converter topology and control scheme are implemented in a 250 W prototype (see Fig. 12) with a Texas Instruments TMS320F28335 controller. Experimental tests are conducted with a PV array simulator (Agilent Technology E4360A) to obtain the steady-state waveforms of the proposed converter under different operating conditions.

Fig. 13 presents waveforms of the input current ($i_o$), battery current ($i_b$), and the secondary side of the coupled inductor current ($i_{L2}$), for the phase angle shift under five different cases. Cases 1 and 5 have identical characteristics, and so do cases 2 and 4. Fig. 14 shows the regulation performance of the converter. As can be seen, the output voltage is controlled at constant 80 V using the phase angle shift modulation. Meanwhile, the duty cycle control of PWM regulates the PV voltage at 12.8 V, which corresponds to the MPP of the PV array simulator. Fig.13(c) shows the switching device waveforms where the zero voltage soft switching is realized.

(c) Output and PV voltages (d) 2nd-side current of the coupled inductor

(e) Response to the load step (f) Response to the power step

Fig. 11. Simulation results of the proposed control scheme.

Modes 2-3 are also tested with the results presented in Figs. 15 and 16. Fig. 15 shows the output waveforms with the phase shift for different cases for $D<0.5$. Under this condition, the battery discharges energy to the load and the blocking diode stops the reverse current flowing into the PV array (mode 2). In mode 3 (Fig. 16), $S_1$ and $S_2$ have the same duty ratio without a phase angle shift. The power is only transferred from the PV to
the battery to realize charging. The output voltage becomes zero due to the reverse series connection of the secondary side of the coupled inductor.

![Fig. 12. Experimental setup of the proposed converter test system.](image)

![Fig. 13. Current waveforms for different cases (D >0.5 mode 1).](image)

![Fig. 14. Experiment results of voltage regulation performance.](image)

![Fig. 15. Current waveforms for different cases (D<0.5 mode 2).](image)

![Fig. 16. Steady-state waveforms under mode 3.](image)

Fig. 17 illustrates the measured converter efficiency under mode 1. It can be seen that the maximum efficiency of this converter is 91.3% at 200 W and the rated efficiency is approximately 90%. The power losses at rated conditions are presented in Fig. 17(b). The MOSFET conduction loss and switching loss are 3.1 W and 6.3 W, respectively, totaling 9.4 W. The power loss in the coupled inductor consists of conduction loss and core loss. The joule loss is 6.1 W and the core loss is 1.1 W. The diode power loss is 2.9 W. Other power losses are 4.9 W including PCB conduction loss, capacitor equivalent series resistance caused power losses and wire lead power loss. From the break-down of the total power loss, the converter efficiency can be further improved by adopting low-loss switching devices (e.g. GaN), better design of the coupled inductor design, and better packaging design of the converter.

![Fig. 17. Experimental results of the converter efficiency and the total power loss.](image)
V. CONCLUSIONS

This paper has presented an isolated three-port DC-DC converter for stand-alone PV systems, based on an improved Flyback-Forward topology. The converter can provide a high step-up capability for power conversion systems including the PV array, the battery storage, and the isolated load consumption. Three operating modes are analyzed and have shown the effective operation of the proposed topology for PV applications. From simulation and experimental tests, it can be seen that the output voltage and PV voltage can be controlled independently by the phase angle shift and PWM, respectively. The decoupled control approach is a simple but effective way to achieve the regulation of output voltage and PV voltage, which is important for MPPT of stand-alone PV systems. In addition, a 250 W converter is prototyped and tested to verify the effectiveness of the proposed converter topology and control scheme.

The developed technology is capable of achieving MPPT, high conversion ratio and multiple operating modes while still making the converter relatively simple, light, efficient and cost-effective.

REFERENCES


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